#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Chu-Chung Lee et al. Group Art Unit: Application No.: 10/662.541 Examiner: Jasmine Jhihan B. Clark Docket No.: Date Filed: September 15, 2003 SC12481TK Title: INTEGRATED CIRCUIT DIE HAVING A COPPER CONTACT AND METHOD THEREFOR Certificate of Transmission under 37 CFR 1.8 I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office. 1-13-04 Signature Pat Thomas Printed Name of Person Signing Certificate INFORMATION DISCLOSURE STATEMENT (IDS) Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450 SIR: In accordance with 37 C.F.R. §1.56 and in compliance with 37 C.F.R. §§1.97 and 1.98, the references listed on attached Form PTO/SB/08 and/or subsequently identified herein, are being submitted herewith for consideration by the United States Patent and Trademark Office. The Office hereby waives the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003. See 37 CFR 1.491(b). I. A legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed, is included herewith. b. □ Any patents, publications or other information which are listed on PTO/SB/08 which are not enclosed herewith were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. §120: U.S. Serial Number U.S. Filling Date H. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box) Except as may be indicated below in (b) of this section, all of the patents, publications or other information are in the English language (concise explanation not required). b. 🖂 A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows: The following additional information is provided for the Examiner's consideration: c. 🔲 IJL. 🔚 CROSS REFERENCE TO RELATED APPLICATION(S)

The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this (these) applications to the Examiner's attention, Applicant(s) does(do) not

waive the confidentiality provisions of 35 U.S.C. §122.

	<u>Serial No</u>	<u>o.</u> <u>Filing Date</u>	Art Unit	
		FEES		
IV. 🗀	THIS IDS a. □ b. □ c. □ d. □	S IS BEING FILED UNDER 37 C.F.R. §1.97(b): (c) within three months of the filing date of a natior under § 1.53(d) (37 C.F.R. §1.97(b)(1)). No fee within three months of the date of entry of the application (37 C.F.R. §1.97(b)(2)). No fee or st before the mailing date of a first Office Action of required.  before the mailing date of a first Office Action at 1.114 (37 C.F.R. § 1.97(b)(4)). No fee or statements	nal application other than a continued prosed or statement is required. The national stage as set forth in § 1.491 in tatement is required. On the merits (37 C.F.R. §1.97(b)(3)). No fe after the filling of a request for continued exa	an international
V. 🛚	before th	IS BEING FILED UNDER 37 C.F.R. §1.97(c): (c) the mailing date of any of a Final Office Action under an action that otherwise closes prosecution in the No statement; therefore, charge Deposit Accourtin 37 C.F.R. §1.17(p).  See the statement below. No fee is required.	der 37 C.F.R. §1.113, a Notice of Allowance he application (See 37 C.F.R. §1.97(c)).	
VI. 🗌		S IS BEING FILED UNDER 37 C.F.R. §1.97(d): fore payment of the issue fee and is accompanied a statement under 37 C.F.R. §1.97(e) as provide charge Deposit Account 503079, Freescale Ser	ed below; and	n §1.17(p).
VII. ⊠		each item of information contained in the IDS was counterpart foreign application not more than the no item of information contained in the IDS was counterpart foreign application, and to know reasonable inquiry, no item of information contained in the items of information contained in the items of information contained in the items of information contained in the Office. As to this information, the undersigned cited in a communication from a foreign Patent Community prior to the filling of this IDS. As to the item of this remaining information contained in Office in a counterpart foreign application or, making reasonable inquiry, no item of inform designated in 37 C.F.R. 1.56(c) more than three	ras cited in a communication from a foreign free months prior to the filing of IDS; or scited in a communication from a foreign Fledge of the person signing the statemed in the IDS was known to any individual the filing of this statement, or the IDS were cited in a communication from states that each item of information containe Office in a counterpart foreign application not remaining information, the undersigned here the IDS was cited in a communication from to the knowledge of the person signing the nation contained in the IDS was known to	Patent Office in a cht after making designated in 37 a foreign Patent in the IDS was the more than three by states that no a foreign Patent e statement after
VIII.	☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐	Please charge Deposit Account No. 503079, From the above-indicated fee(s).  If Applicant has overlooked any additional fees, hereby authorized to credit or debit Deposit Account Two Copies of this paper are attached for Deposit Proposition of the interest of the contract of the contrac	or if any overpayment has been made, the Count 503079, Freescale Semiconductor, In sit Account charges and debits.	commissioner is c. at they constitute
statutory	prior art o	or contain matter which anticipates the invention of	or which would render the same obvious, eit	her singly or in a

combination, to a person of ordinary skill in the art.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 503079, Freescale Semiconductor, Inc.

Respectfully submitted,

Chu Chung Lee et al.

FREESCALE SEMICONDUCTOR, INC.

Customer Number 23125

/ Kim-Marie Vo

Agentfor Applicant(s) Reg. No. 50,714 Tel. (512) 996-6839

Enclosures:

**X** 

PTO/SB/08 References Other:

Internati Search Report & Written Opinion

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Substitute	for form 1449A/PTO			Complete If Known			
				Application Number	10/662,541		
INFORM	IATION DISCLOSURE			Fillng Date	September 15, 2003		
STATEM	MENT BY APPLICANT			First Named Inventor	Chu-Chung Lee et al.		
				Group Art Unit	2815		
(uso as ma	ny sheets as necessary)			Examiner Name	Jasmine Jhlhan B. Clark		
Sheet	1	٥f	1	Attorney Docket Number	SC12481TK		

				U. S. PATENT DOCUMENTS		
Examiner	Cite No.	Cite No. U.S. Palent Document		Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lines, Where Relevan
Initials*	'	Number	Kind Code <sup>2</sup> (Il known)	of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
	BA	6,727,570	B2	Woo	04-27-2004	
	BB	6,610,601	82	Li et al.	08-26-2003	
	BC	6,380,626	B1	Jiang	04-30-2002	
	BD	6,268,662	B1	Test et al.	07-31-2001	
	BE	6,230,719	B1	Wensel	05-15-2001	
	BF	4,821,148		Kobayashi et al.	04-11-1989	
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				FOR	IGN PATENT DOCUMENT	S		
Examiner Initials	Cite No.	Office <sup>3</sup>	Foreign Patent Number <sup>4</sup>	Document Kind Code? (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τe
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		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*  Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, maginitials*  1 symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where publisher.					
Examiner	1	Date			

Signature | Considered |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation, it not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique ciration designation number. 2 Applicant is to place a check mark here if English Language Translation is attached.

#### PATENT COOPERATION TREATY

# **PCT**

#### INTERNATIONAL SEARCH REPORT

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	INTERNATIONAL SEAR	RCH REPORT	_	*CO:
	(PCT Article 18 and Rule	s 43 and 44)		COPI
plicant's or agent's file reference	·	swa Notification of Trans	mittal of International Search Report	, ° /
12481TK	FOR FURTHER ACTION		well as, where applicable, item 5	
emational application No. T/US04/09816	International filing date (day/m 31 March 2004 (31.03.2004)	onth/year) (Earliest) P	riority Date (day/month/year) 03 (02.04.2003)	;
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is international search report has be ording to Article 18. A copy is bei	en prepared by this International S ng transmitted to the International	Searching Authority and is Bureau.	transmitted to the applicant	
is international search report consis	<u> </u>			
	ts of a total of sheets.  ied by a copy of each prior art doc	ument cited in this report		;
Basis of the Report			· · · · · · · · · · · · · · · · · · ·	 
a. With regard to the language	, the international search was carried		rnational application in the	
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Authority (Rule 23.1(b)).	as carried out on the basis of a trans.			} !:
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	ed by this Authority to read as follow	ws:		<u> </u>
With regard to the abstract,		•		5.
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the text has been establish within one month from the	ed, according to Rule 38.2(b), by the date of mailing of this international	is Authority as it appears in I search report, submit comi	Box III. The applicant may, ments to this Authority.	i i i i i i i i i i i i i i i i i i i
The figure of the drawings to be p	ublished with the abstract is Figure I	No. 2		<u>[</u>
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	INTERNATIONAL SEARCH REPO	RT	International appl	ication No.					
			PCT/US04/09816						
IPC(7) US CL According to	SSIFICATION OF SUBJECT MATTER : H01L 23/48, 23/52, 29/40 : 257/762, 786, 773, 784, 758, 759, 767; 438/68 International Patent Classification (IPC) or to both as	7, 681, 622 tional classification and	LIPC						
U.S. : 25	Minimum documentation searched (classification system followed by classification symbols) U.S.: 257/762, 786, 773, 784, 758, 759, 767; 438/687, 681, 622								
Documentati	on searched other than minimum documentation to the	extent that such docum	ents are included in	the fields searched					
Electronic da	ta base consulted during the international search (nam	e of data base and, when	e practicable, search	h tërms used)					
	UMENTS CONSIDERED TO BE RELEVANT								
Салевогу *	Citation of document, with indication, where t	ppropriate, of the relev	ant passages	Relevant to claim No.					
Y  Y	US 6;610,601 B2 (Li et al. ) 26 August, 2003 (26-08	-2003), Col. 1-10		1-39					
Y	US 6,380,626 B1 (Jiang) 30 April 2002 (30-04-2002	1-39							
Y	US 4,821,148 (Kobayashi et al. ) 11 April 1989 (11-	1-39							
Further	documents are listed in the continuation of Box C.	See patent f	amily annex.						
* Sp	ecral categories of cited documents:			national filing date or priority					
"A" document particular	defining the general state of the art which is not considered to be of relevance	principle of th	cory underlying the inven						
"E" earlier app	lication or patent published on or after the international filing date	considered no	el or cannot be consider	aimed invention cannot be ed to involve an inventive srep					
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citarian or other special reason (as specified)  "Y" document of particular relevance; the claimed invention considered to involve an inventive step when the document combined with one or more other such documents, such				when the document is					
"O" document	telerring to an oral disclosure, use, exhibition or other means		to a person skilled in the						
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### PATENT COOPERATION TREATY

INTERNATIONAL SEARCHING AUTH	ORITY			
To PATRICIA S. GODDARD CORPORATE I.AW DEPARTMENT INTELLECTUAL PROPERTY SECTION 7700 WEST PARMER LANE, MD: TX3		PCT WRITTEN OPINION OF THE		
AUSTIN, TX 78729		INTERNATIO	ONAL SEARCHING AUTHORITY	
			(PCT Rule 43bts.1)	
		Date of mailing	9.9 pmg 2004	
Applicant's or agent's file reference		(day/month/yeur) FOR FURTHER	28 DEC 2004	
SC12481TK			See paragraph 2 below	
International application No.	International filing date	(day/month/year)	Priority date (day/month/year)	
PCT/US04/09816	31 March 2004 (31.03.2	(004)	02 April 2003 (02.04.2003)	
International Patent Classification (IPC)	or both national classificat	tion and IPC		
IPC(7): H01L 23/48, 23/52, 29/40 and U:	S Cl.: 257/762, 786, 773,	784, 758, 759, 767; 43	38/687, 681, 622	
Applicant			•	
MOTOROLA				
1. This opinion contains indications rela	ating to the following item	981		
Box No. 1 Basis of the	opinion			
Box No. II Priority				
Box No. III Non-establi	shment of opinion with re	gard to novelty, inven	tive step and industrial applicability	
l <del>[</del>	ty of invention	•	- Proceedings	
Box No. V Reasoned st		.l(a)(i) with regard to	novelty, inventive step or industrial	
	uments cited			
Box No. VII Certain defe	ects in the international ap	plication		
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International Preliminary Examining	g Authority ("IPEA") ex hc IPEA and the chosen :	cept that this does IPEA has notified the	not apply where the applicant chooses an laternational Bureau under Rule 66.1bis(b) red.	
of Form PCT/ISA/220 or before the e	appropriate, with amends appropriate appropriation of 22 months from	ments before the exp	EA, the applicant is invited to submit to the iration of 3 months from the date of mailing whichever expires later.	
For further options, see Form PCT/IS	A/220.			
3. For further details, see notes to Form	PCT/18A/220.			
Name and mailing address of the ISA/ US		Authorized officer		
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Commissioner for Patents P.O. Bux 1450		Eddie Lee	Manusee artex	
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Form PCT/ISA/237 (cover sheet) (January 2004)

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTIO RITY

International application No.

PCT/US04/09816

Box No. I Basis of this opinion 1. With regard to the language, this opinion has been established on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item. This opinion has been established on the basis of a translation from the original language into the following language which is the language of a translation furnished for the purposes of international search (under Rules 12.3 and 23.1(b)). 2. With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of: a. type of material a sequence listing table(s) related to the sequence listing format of material in written format in computer readable form time of filing/furnishing contained in international application as filed, filed together with the international application in computer readable form. furnished subsequently to this Authority for the purposes of search. In addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished. 4. Additional comments;

Form PC1/ISA/237(Box No. 1) (January 2004)

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US04/09816

Statement			
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Novelty (N)		4,8,9,11,15,18,19,21 and 27-38 1-3,5-7,10,12-14,16,17,20,22-26 and 39	YÈ
	. Claims	1-3.3-7.10,12-14,10,17,20,22-26 and 39	NO
Inventive step (IS)	Claims	1-3.5-7.10.12-14.16.17.20.22-26 and 39	YE
		4,8,9,11,15,18,19,21 and 27-38	NO
Industrial applicability (IA)	Claims	1-39	YE
11		NONE	NO
Citations and explanations:			
case See Continuation Sheet			
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Form PCT/ISA/237 (Box No. V) (January 2004)

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### WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US04/09816

Supplemental Box		
In case the space in any of the preceding boxes is not sufficient.		

V. 2. Citations and Explanations:

1. Claims 1-3, 5-7, 10, 12-14, 16, 17, 20, 22-26 and 39 lack novelty under PCT article 33(2) as being anticipated by Li et al (US Pat. 6610601).

Regarding claims 1-3, 5-7, 10, 12-14, 16, 17, 20, 22-26 and 39, Li et al. diclose an integrated circuit (IC)die/device and a method of making such IC die/device comprising:

- forming a copper contact/pad (402 in Fig. 4E; Col. 5, lines 1-26) of the IC die or a plurality of such contacts/pads on a wafer, the copper contacts being a wire bond copper pads
- pretreating the copper contact with an acid solution (Col. 4, lines 10-33)
- forming a coating on the copper contact by exposing the copper contact to an organic matewrial/solution and a reaction of the organic solution with copper oxide (Col. 8, line 53- Col. 9, line 15)
- the organic material/solution comprising a variety of material from triazole family having molecules containing nitrogen-hydrogen bonds including benzotriazole/BTA having a pH of about 7.0 (Col. 8, line 55- Col. 9, line 17; Col. 10, lines 23-43), the BTA coating having a thickness of about 20 angstroms or less by dipping a wafer for a predetermined time amount (Col. 6, lines 40-45; Col. 10, lines 13-18)
- forming a wire bond on the copper pad (see Fig. 4F), the wire bonding removing a portion of the coating directly under the wire (Fig. 4A-4E; Fig. 1-4; Col. 1-11).
- 2. Claims 4, 21 and 27 lack inventive step under PCT article 33(3) as being obvious over Li et al. (US par. 6610601).

Regarding claims 4, 21 and 27. Li et al. teach substantially the entire claimed structure and the method as applied to claims 1 and 16 above, except the solution having the pH of at least 7.5 or dipping the wafer for about 5 minutes.

The parameters such as pH of the solution, rinse/coating time, roller/brush speed, drying time, etc. in coating application in chip puckaging and interconnect technology is a subject of routine experimentation and optimization to achieve the desired coating density, thickness and quality. It would have been obvious to one of ordinary skill in the art to select the pH of about 7.5 or dipping the wafer for about 5 minutes so that the desired thickness and the quality of the coating can be achieved in Li et al's IC die.

3. Claims 8 and 9 lack inventive step under PCT article 33(3) as being obvious over Li et al. (US pat. 6610601) in view of Izumitani et al. (US Pat. 6727590).

Regarding claims 8 and 9, 1.i et al. teache substantially the entire claimed structure and the method as applied to claim 1 above, except a plurality of interconnect layers having the final copper layer (Col. 1, lines 61-65), but fail to teach an insulating layer overlying the interconnect layers such that the copper layer is accessible by an opening in the insulating layer.

Izumitani et al. teach a convention interconnect sturcture having an insulating layer (see 72/73 in Fig. 22; Col. 18 and 19) overlying the interconnect layers to provide the desired surface protection. It would have been obvious to one of ordinary skill in the art to

Form PCT/ISA/237 (Supplemental Box) (January 2004)



# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US04/09816

Supplemental Box

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incorporate the insulating layer having the opening as taught by Izumitani et al. so that the desired surface protection can be achieved in Li et al's IC die.

4. Claims 11 and 15 lack inventive step under PCT article 33(3) as being obvious over Li et al. (US pat. 6610601) in view of Kobayashi et al. (US Pat. 4821148).

Regarding claims 11 and 15, Li et al. teach substantially the entire claimed structure as applied to claim 1 above, except the coating having a thermal resistance of 100 deg. C or greater or the IC die being attached to a packaged substrate respectively.

Kohayashi et al. teach conventional BTA coating having a thermal stability/resistance at a temperature of 120 deg. C (Col. 6, line 25; Col. 5 and 6). Kobayashi et al. further teach the IC die being attached to a packaged leadframe substrate (see Fig. 1A). It would have been obvious to one of ordinary skill in the art to incorporate the BTA having thermal resistance of 100 deg. C and the IC die being attached to the packaged substrate as taught by Kobayashi et al. so that the desired reliability and substrate configuration can be achieved in Li et al's IC die.

5. Claim 18 lacks inventive step under PCT article 33(3) as being obvious over Li et al. (US pat. 6610601) in view of Test et al. (US Pat. 6268662).

Regarding claim 18, Li et al. teach substantially the entire method as applied to claims 16 and 26 above, but fail to teach the wire bonding being performed at 100 deg. C or above.

Test et al. teach an interconnect sturcture where a conventional wire bonding is performed at around 150-270 deg. C (see Col. 6, lines 16-35). It would have been obvious to one of ordinary skill in the art to incorporate the wire bonding being performed at 100 deg. C or above as taught by Test et al. so that the wire bonding defects can be reduced in Li et al's IC die.

 Claims 19, 28, 29 and 31-38 lack inventive step under PCT article 33(3) as being obvious over Li et al. (US pat. 6610601) in view of Wensel (US Pat. 6230719).

Regarding claim 19, Li et al. teach substantially the entire method as applied to claims 16 and 26 above, but fail to teach performing plasma cleaning after forming the coating and before the wire bonding.

Wensel teaches performing conventional cleaning steps including a plasma clean step (see Fig. 4 and 8; Col. 6, lines 38-67) to remove contamination on a bonding surface before performing the wire bonding. Wenzel further teaches using conventional gases such as argon, belium, etc. for the plasma cleaning (Col. 3, lines 63-66). It would have been obvious to one of ordinary skill in the art to incorporate the plasma cleaning process using the gases such as argon as taught by Wensel so that the wire bonding defects can be reduced in Li et al's

Regarding claims 28, 29, 31-35, 37 and 38, Li et al. and Wenzel teach substantially the entire method as applied to claims 1-3, 5-7, 10 and 12-14, 16 and 19 above.

Regarding claim 36, Li et al. and Wenzel teach substantially the entire method as applied to claim 28 above, except singulating the die including the copper contact from a wafer before the plasma etching.

Wensel teaches performing conventional singulating/dicing of a die from a wafer before performing conventional cleaning and bonding operations (see Fig. 6, 8, etc., Col. 5 and 6). It would have been obvious to one of ordinary skill in the art to incorporate the singulating process as taught by Wensel so that cycle time can be improved and the wire bonding defects can be reduced in Wensel and Li et al's IC die.

7. Claim 30 lacks inventive step under PCT article 33(3) as being physicists over Li et al (US Pat. 6610601) in view of Test et al. (US Pat. 6268882) and Weisel (US Pat. 6230719).

Regarding claim 30. Li et al., Wensel and Test et al. teach substantially the entire method as applied to claims 28. I and 18 above.

Form PCT/ISA/237 (Supplemental Box) (January 2004)